



## A microstructural model for hydraulic conductivity evolution due to brittle damage

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# Introduction

Fractures and discontinuities: among the most important features of geological structures

**Natural rock formations:** fractures and discontinuities facilitate storage and movements of fluids



# Introduction

Fracture processes exploited in **engineering technology**

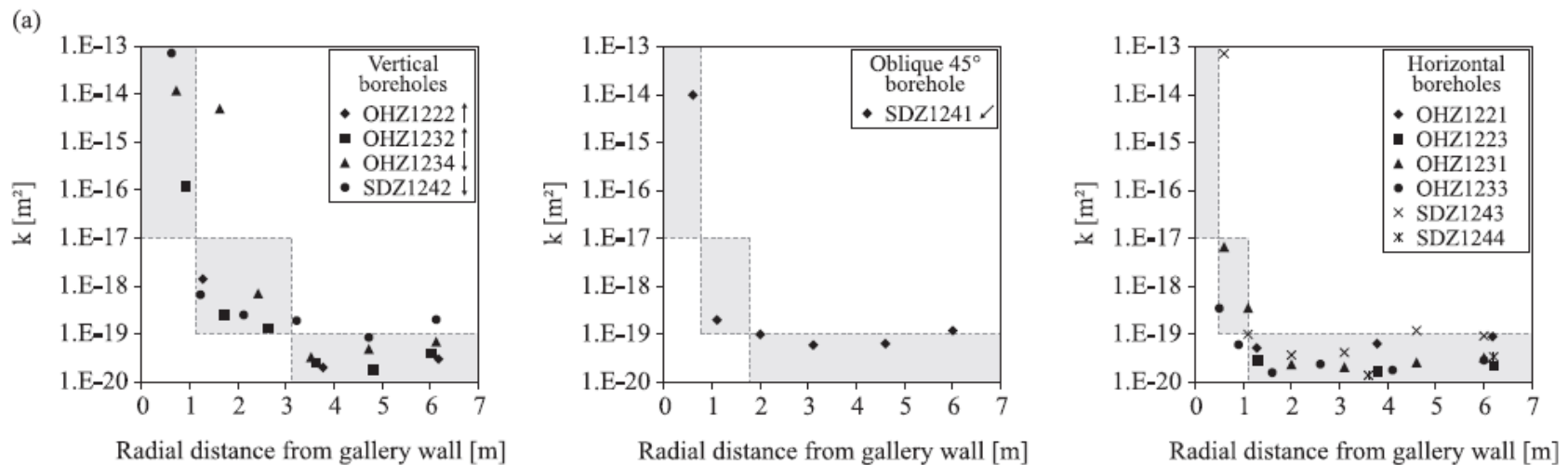
- Prediction of reservoir integrity hazardous waste storage



# Introduction

Fracture processes exploited in **engineering technology**

- Prediction of reservoir integrity hazardous waste storage



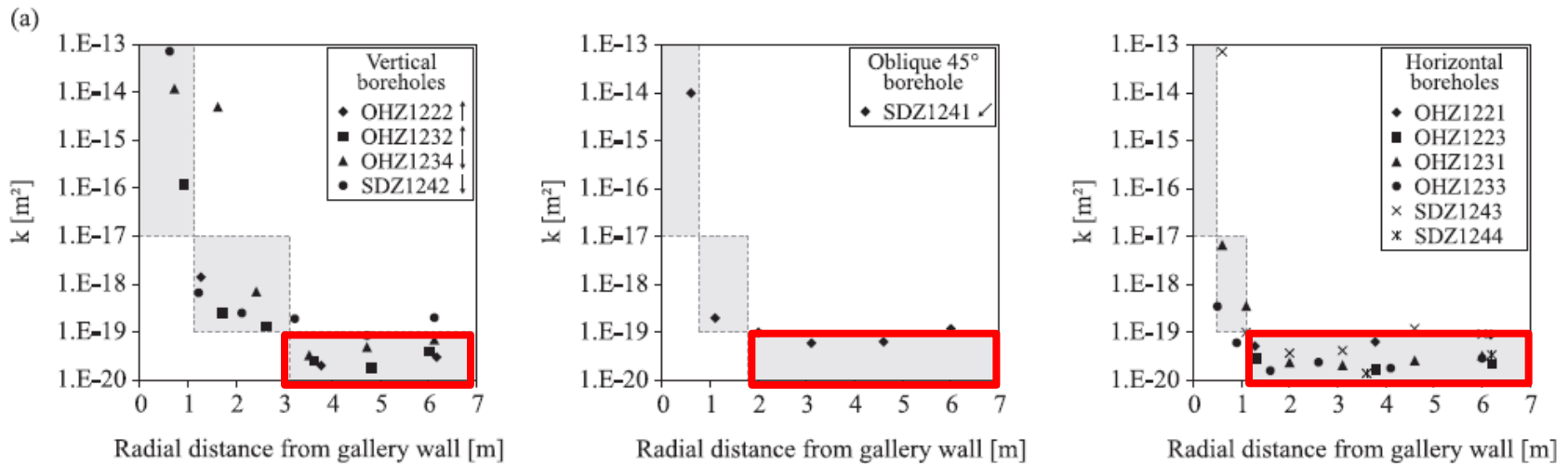
Pardoen et al., 2016

URL experimental tunnel (Andra) in Collovo-Oxfordian claystone: permeability increase by measurements performed in boreholes drilled in different orientations

# Introduction

Fracture processes exploited in **engineering technology**

- Prediction of reservoir integrity hazardous waste storage



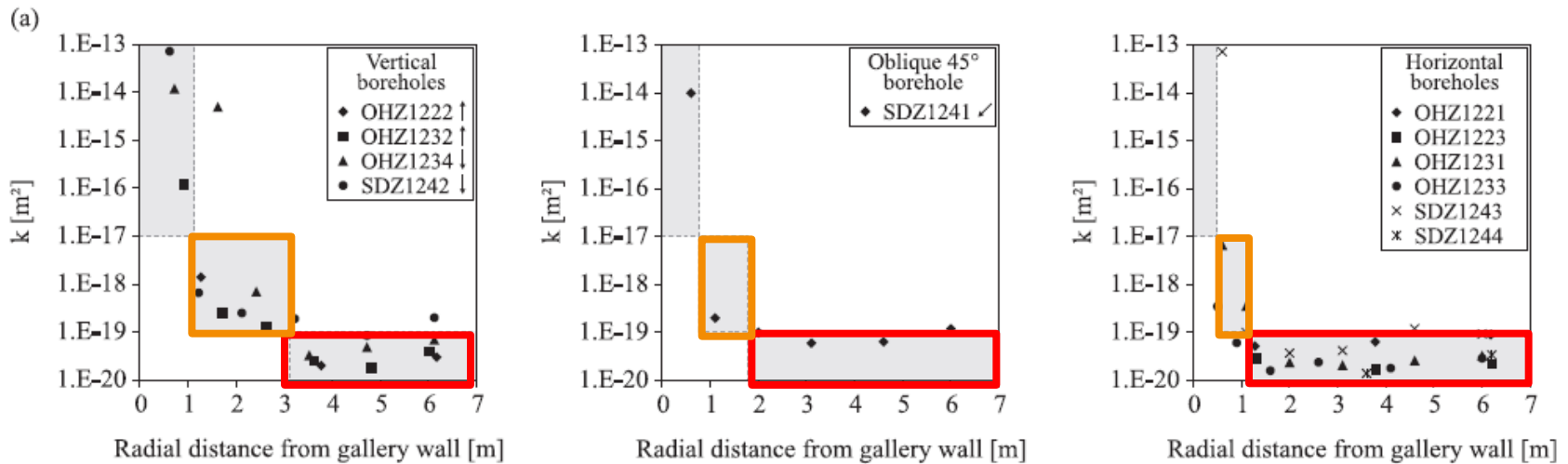
Pardoen et al., 2016

Undisturbed zone,  $k < 10^{-19}$  m<sup>2</sup>

# Introduction

Fracture processes exploited in **engineering technology**

- Prediction of reservoir integrity hazardous waste storage



Pardoen et al., 2016



Undisturbed zone,  $k < 10^{-19} \text{ m}^2$

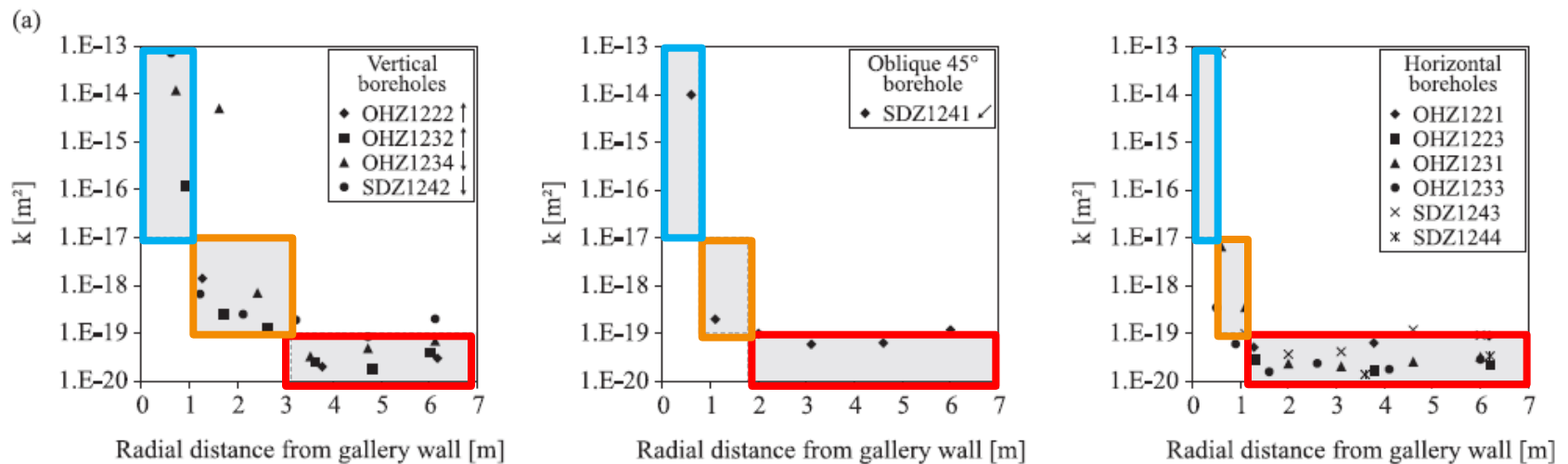


Slightly disturbed zone,  $k$  from  $10^{-19} \text{ m}^2$  to  $10^{-17} \text{ m}^2$

# Introduction

## Fracture processes exploited in engineering technology

- Prediction of reservoir integrity hazardous waste storage



Pardoen et al., 2016



Undisturbed zone,  $k < 10^{-19} \text{ m}^2$



Slightly disturbed zone,  $k$  from  $10^{-19} \text{ m}^2$  to  $10^{-17} \text{ m}^2$

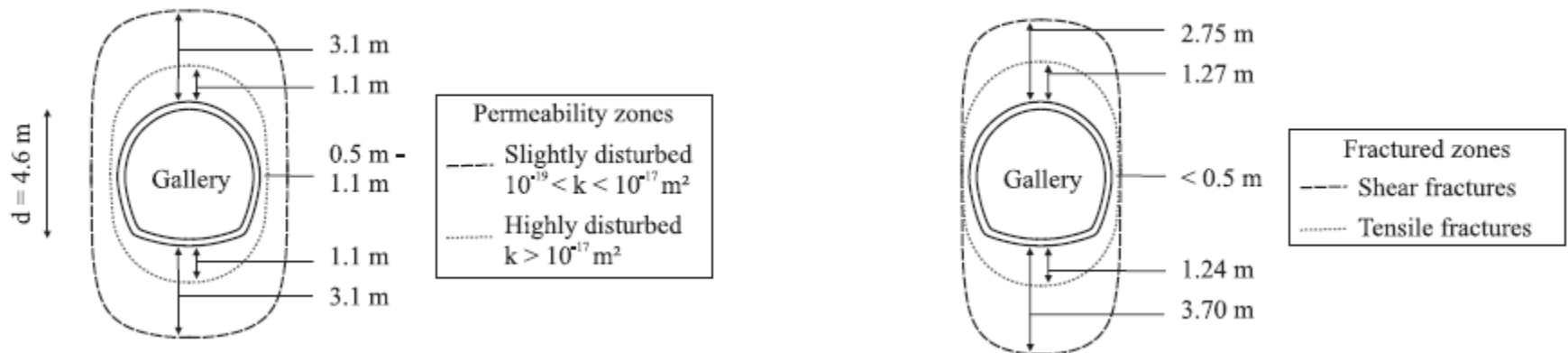


Highly disturbed zone,  $k > 10^{-17} \text{ m}^2$

# Introduction

Fracture processes exploited in **engineering technology**

- Prediction of reservoir integrity hazardous waste storage



Pardoen et al., 2016

URL experimental Tunnel (Andra) in Collovo-Oxfordian claystone: relation between permeability and shear/tensile fracture zones



# Introduction

Fracture processes exploited in **engineering technology**

- Prevention of water/gas outburst into underground mines
- Prediction of reservoir integrity for CO<sub>2</sub> sequestration
- Prediction of water flow into galleries.....

→ The excavation of underground structures in rock masses induces cracking → significant changes in flow and permeability → Modification of pore pressure → modification in the mechanical response

Which kind of model?

Governing equations

Linearized porous brittle damage material model with distributed frictional-cohesive faults

- Fault kinematics;
- Energetic contributions;
- Link with porosity and permeability;

Some examples:

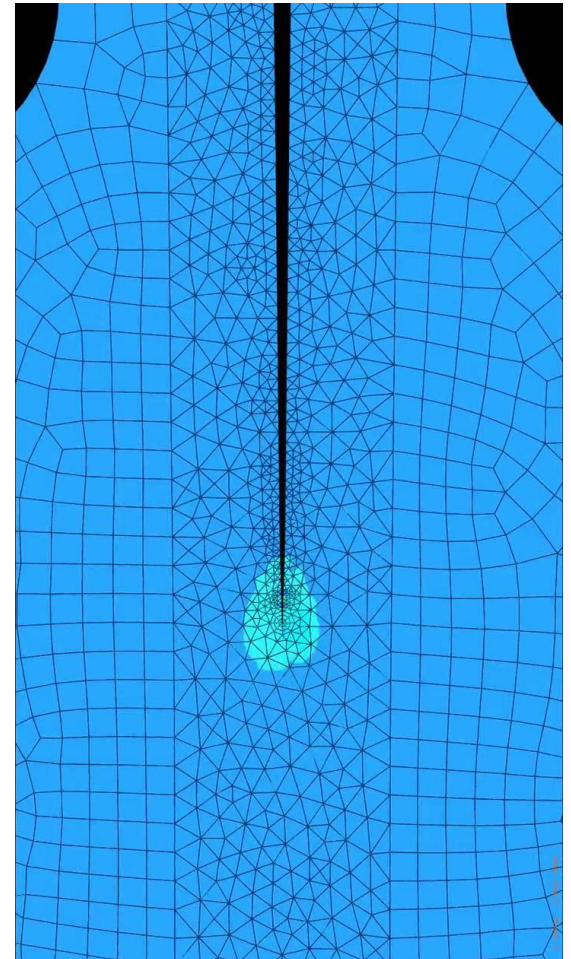
- Sensitivity analysis
- Experimental triaxial test simulations
- Excavation of a borehole

# Which kind of model?

Yuan & Harrison (2006) "A review of the state of the art in modelling progressive mechanical breakdown and associated fluid flow in intact heterogeneous rocks" IJRMMS 43

## Discrete models based on fracture mechanics

- Open crack and sliding crack models used to simulate the progressive microfracturing of rock upon loading
- Need of a well-defined crack or defect, with known orientation, spacing, length and frictional properties (normally undetectable properties)
- Difficulties in modelling the interaction between cracks (i.e. permeability increase due to the increased connectivity between cracks) (e.g. Pouya, 2015)



# Which kind of model?

Yuan & Harrison (2006) "A review of the state of the art in modelling progressive mechanical breakdown and associated fluid flow in intact heterogeneous rocks" IJRMMS 43

## Continuum damage mechanics models

- Phenomenological approach considering the averaged effect of microstructural changes to reproduce the hydro-mechanical response during the progressive degeneration of rocks
- Rock mass containing a large number of discontinuities as a homogeneous anisotropic porous medium
- Possibility to derive a permeability tensor from a damage crack tensor (Oda 1985, Shao et al 2005, Arson & Pereira 2013, Lavasseur et al 2013)

# Which kind of model?

## Our proposal

- Development of a continuum model of distributed fracturing of rock masses based on an explicit micromechanical construction of connected patterns of cracks (from Pandolfi et al 2006) and of the related permeability variation
- Explicit fracture patterns
- Not arbitrary fracture patterns → inception, orientation and spacing of fractures derives from energetic consideration
- Analytical calculation of porosity and permeability

# Governing equations

## Solid

Linear momentum balance

$$\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = 0$$

## Fluid

Fluid mass balance

$$\frac{\partial \rho_f n S_r}{\partial x} + \nabla \cdot \rho_f \mathbf{q} = 0$$

## Assumptions

Linearized kinematics

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}^m + \boldsymbol{\varepsilon}^f$$

Incompressible pore fluid

$$\rho_f = \text{const.}$$

Fluid saturated medium

$$S_r = 1$$

## Constitutive laws

Brittle damage constitutive law

$$\boldsymbol{\sigma}' = \boldsymbol{\sigma}'(\boldsymbol{\varepsilon}^m)$$

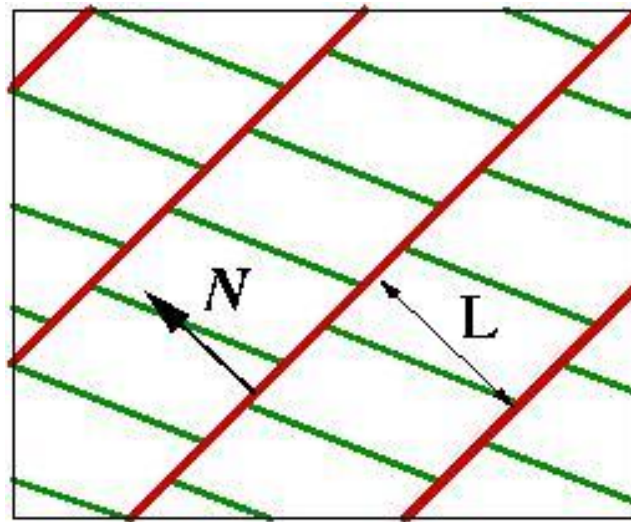
$$\boldsymbol{\sigma} = \boldsymbol{\sigma}' + p\mathbf{I}$$

$$\mathbf{q} = -\mathbf{k} \frac{\rho_f q}{\mu} \nabla h$$

Permeability tensor expressed as a function of faults distribution

# Brittle damage model: some definitions

- The model used is characterized by a homogeneous matrix with embedded nested families of equi-spaced cohesive faults.
- Each level  $k$  of cohesive faults is characterized by an orientation (defined by the normal  $\mathbf{N}_k$  to the faults) and a spacing  $L_k$ .

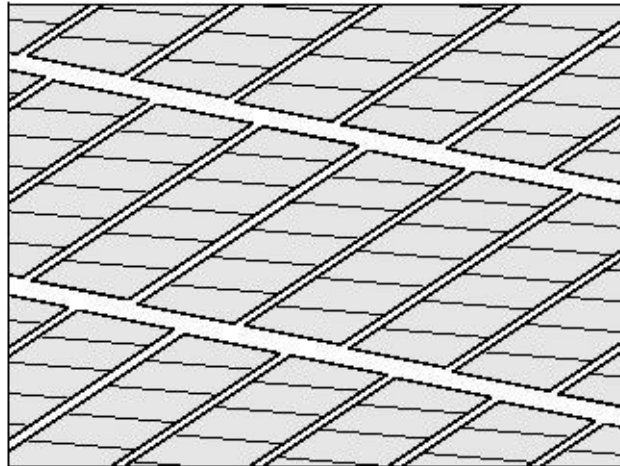


- $L$  is a microstructural feature of the material that derives from optimality conditions on the system energy

# Brittle damage model: kinematics of faults

- The material deforms due to both matrix deformation and fault development
- Additive decomposition of the macroscopic strain (small strain kinematics):

$$\boldsymbol{\varepsilon} = \text{sym} \nabla \boldsymbol{u} = \boldsymbol{\varepsilon}^m + \boldsymbol{\varepsilon}^f$$



$\boldsymbol{\varepsilon}^m$  = matrix deformation

$\boldsymbol{\varepsilon}^f$  = deformation due to faults

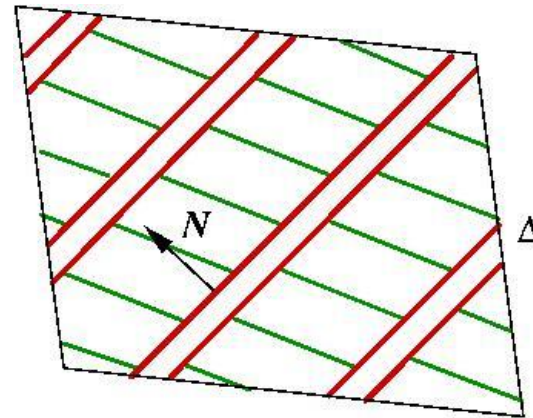
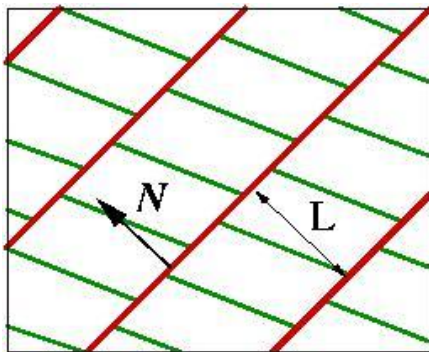


# Brittle damage model: kinematics of faults

Straightforward determination of rock deformation due to the opening of a single fault family (spacing  $L$  and normal  $\mathbf{N}$ ).

- Take a segment  $d\mathbf{x}$  that spans two material points and define the number of faults  $n$  traversed by the vector

$$n = \frac{1}{L} d\mathbf{x} \cdot \mathbf{N}$$

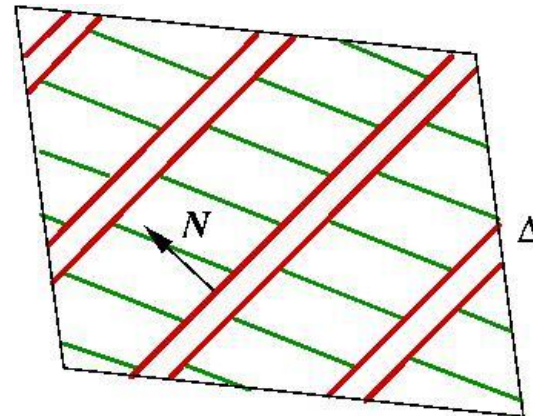
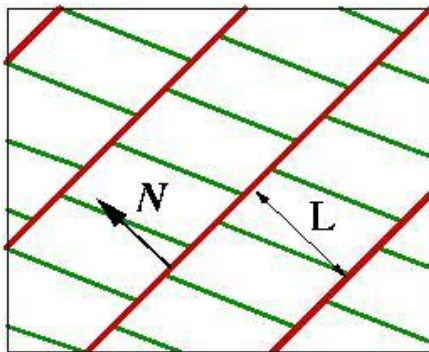


# Brittle damage model: kinematics of faults

Straightforward determination of rock deformation due to the opening of a single fault family (spacing  $L$  and normal  $\mathbf{N}$ ).

- Suppose that the **opening displacement**  $\Delta$  is applied to all the  $n$  faults and obtain the displacement

$$d\mathbf{u}^f = n\Delta = \frac{1}{L}(d\mathbf{x} \cdot \mathbf{N})\Delta = \frac{1}{L}\Delta \otimes \mathbf{N} d\mathbf{x} \equiv \nabla \mathbf{u}^f d\mathbf{x}$$

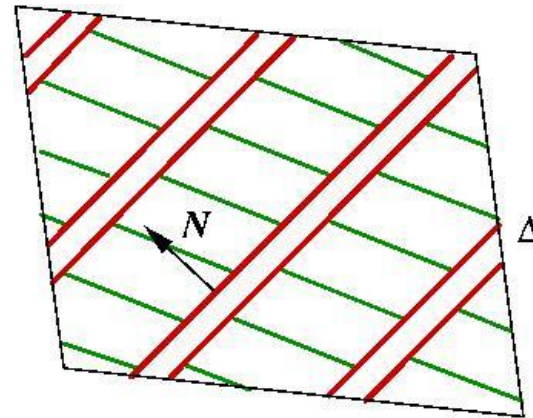
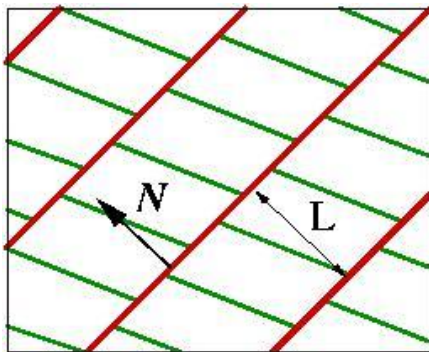


# Brittle damage model: kinematics of faults

Straightforward determination of rock deformation due to the opening of a single fault family (spacing  $L$  and normal  $\mathbf{N}$ ).

- Derive the deformation component due to fault activity:

$$\boldsymbol{\varepsilon}^f = \text{sym} \nabla \mathbf{u}^f = \frac{1}{2L} (\boldsymbol{\Delta} \otimes \mathbf{N} + \mathbf{N} \otimes \boldsymbol{\Delta})$$



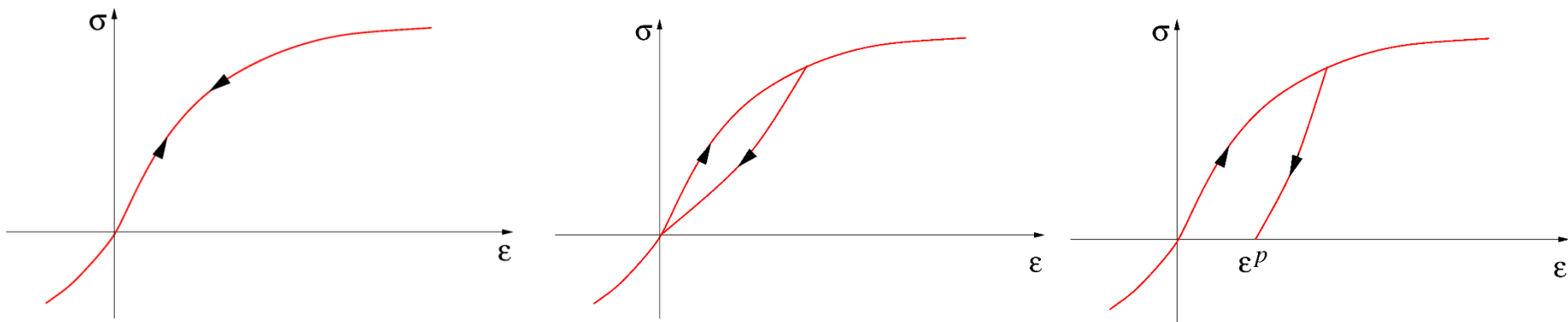
# Brittle damage model: elasticity (or inelasticity) of the matrix

- Here we assume linear elastic behaviour for the underlying matrix  $\rightarrow$  Cauchy stress tensor follows as

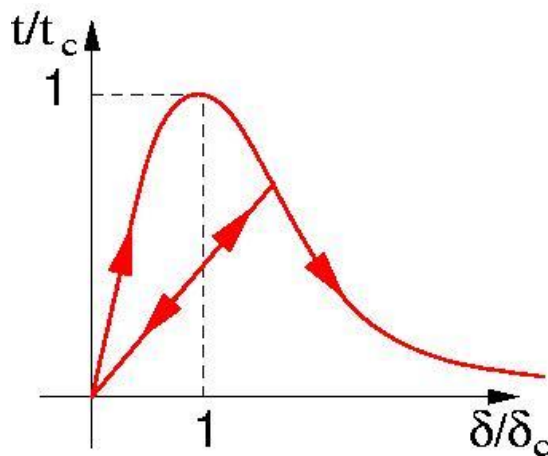
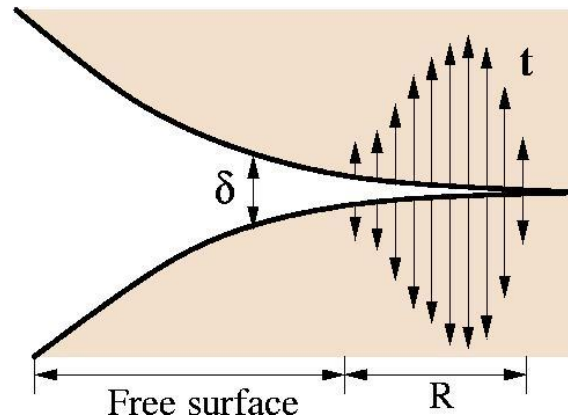
$$W^m = \frac{1}{2} \boldsymbol{\varepsilon}^m T \cdot D \boldsymbol{\varepsilon}^m$$

$$\boldsymbol{\sigma} = \frac{\partial W^m(\boldsymbol{\varepsilon}^m)}{\partial \boldsymbol{\varepsilon}^m}$$

- Any other material model, accounting for plasticity, or viscosity, or other material behavior typical of soils, can be considered for the matrix



Cohesive theories describe fracture evolution as the progressive separation of two surfaces. The **displacement jump**  $\delta$  is resisted by **tractions**  $\mathbf{t}$  along the cohesive zone  $R$  (ahead of the crack tip)

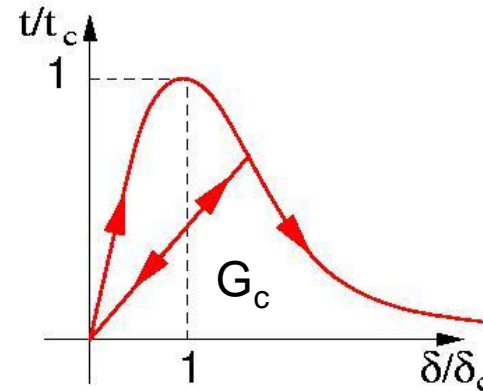


**Cohesive law  $\mathbf{t}(\delta)$ :**

relation between tractions  $\mathbf{t}$  acting on  $R$  and the displacement jump  $\delta$  (separation between fracture surfaces)

Simple uniaxial cohesive laws are defined by two parameters:

- the cohesive strength  $t_c$  of the material
- the critical energy release rate  $G_c$

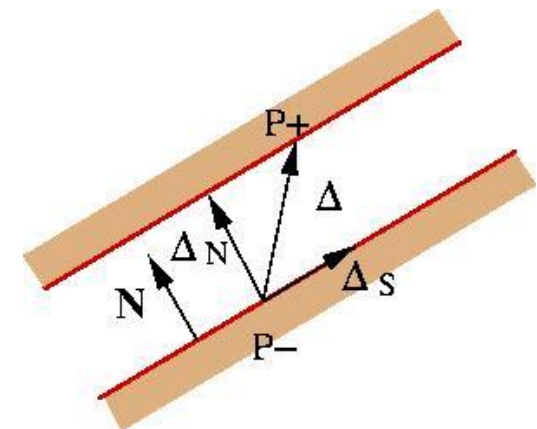


Extension to mixed mode of failure, irreversibility and 3D [Camacho and Ortiz, 1996; Ortiz and Pandolfi, 1999] → **Effective opening displacement  $\Delta$**

$$\Delta = \sqrt{\Delta^N{}^2 + \beta^2 \Delta^S{}^2}$$

$$\Delta^N = \Delta \cdot \mathbf{N} > 0, \quad \Delta^S = |\Delta - \Delta^N \mathbf{N}|$$

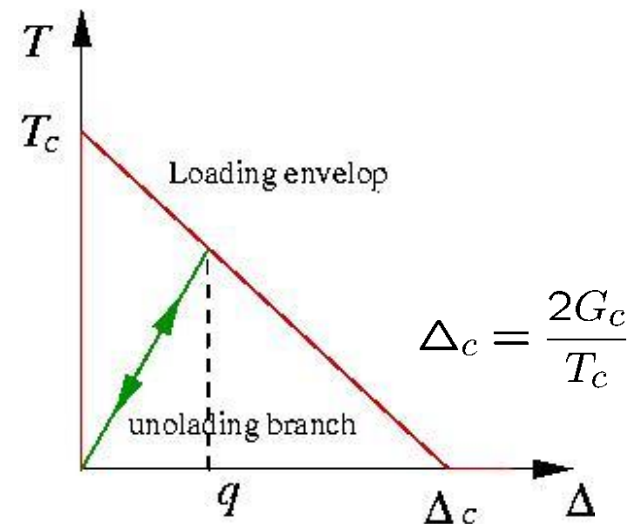
$\beta$  = material parameter



Cohesive law linking the effective opening displacement  $\Delta$  and the effective traction  $T$  via the effective cohesive energy  $\phi$

$$\Phi = \Phi(\Delta, q)$$

$$T = \frac{\partial \Phi(\Delta, q)}{\partial \Delta}$$



**Irreversible linear decreasing cohesive law** in terms of effective open displacement

$G_c$  = enclosed area = critical energy release rate of the material

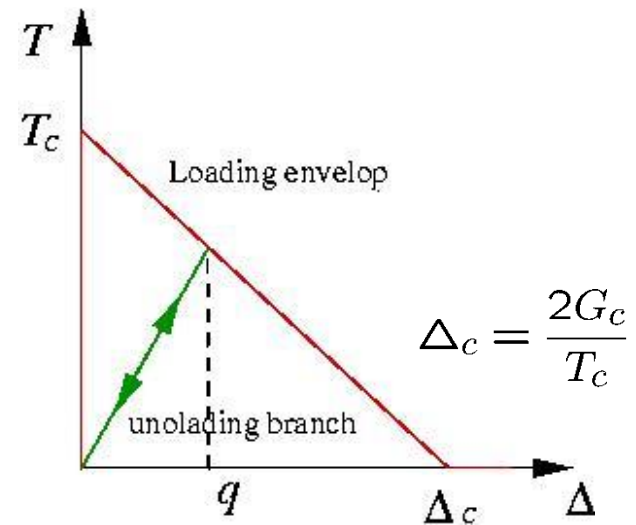
$T_c$  = effective tensile resistance

$\Delta_c$  = critical opening displacement

Cohesive law linking the effective opening displacement  $D$  and the effective traction  $T$  via the effective cohesive energy  $\phi$

$$\Phi = \Phi(\Delta, q)$$

$$T = \frac{\partial \Phi(\Delta, q)}{\partial \Delta}$$



Irreversibility enforced by assuming unloading to the origin  $\rightarrow$  one internal variable  $q$  equal to the maximum  $\Delta$ :  $q = \Delta_{\max}$

$$\dot{q} = \begin{cases} \dot{\Delta}, & \text{if } \Delta = q \text{ and } \dot{\Delta} \geq 0 \text{ first loading} \\ 0, & \text{unloading/reloading} \end{cases}$$



## Brittle damage model: frictional dissipation

**Friction** is an essential dissipation mechanism in geomaterials  $\rightarrow$  upon the attainment of a critical opening displacement, faults loose cohesion and friction remains the only dissipation mechanism

Dual kinetic potential  $\Psi^*$  per unit area: Coulomb friction

$$\Psi^*(\dot{\Delta}; \varepsilon, \Delta) = \mu \max \{0, -\mathbf{c} \cdot \mathbf{N}\} |\dot{\Delta}|$$

- If faults undergo opening and are not in contact, then  $\Psi^* = 0$
- If faults are closed and the contact tractions are compressive, the dissipation is proportional to the normal component of contact tractions  $\mathbf{c} = \sigma \mathbf{N}$
- $\mu = \tan \phi'$  is the friction angle of the material

# Brittle damage model: fault propagation and orientation

- Undamaged material at time  $t_n$  (the state at  $t_n$  is known)
- Given the total deformation  $\epsilon_{n+1}$  at time  $t_{n+1}$
- Define an incremental work of deformation  $E$ , defined over the increment  $\Delta t$

$$E_n(\epsilon^m, \Delta, q) = W^m(\epsilon^m) + \frac{1}{L}\Phi(\Delta, q) + \frac{\Delta t}{L}\Psi^*\left(\frac{\Delta - \Delta_n}{\Delta t}; \epsilon, \Delta\right)$$

Strain energy density per unit volume of the matrix

Cohesive energy density per unit surface of the faults

Frictional dissipation


# Brittle damage model: fault propagation and orientation


- Undamaged material at time  $t_n$  (the state at  $t_n$  is known)
- Given the total deformation  $\boldsymbol{\varepsilon}_{n+1}$  at time  $t_{n+1}$
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An incremental strain energy function  $W$  follows from the solution of the constrained optimization problem:

$$W_n(\boldsymbol{\varepsilon}) = \inf_{\boldsymbol{\Delta}, q} E_n(\boldsymbol{\varepsilon}^m, \boldsymbol{\Delta}, q)$$

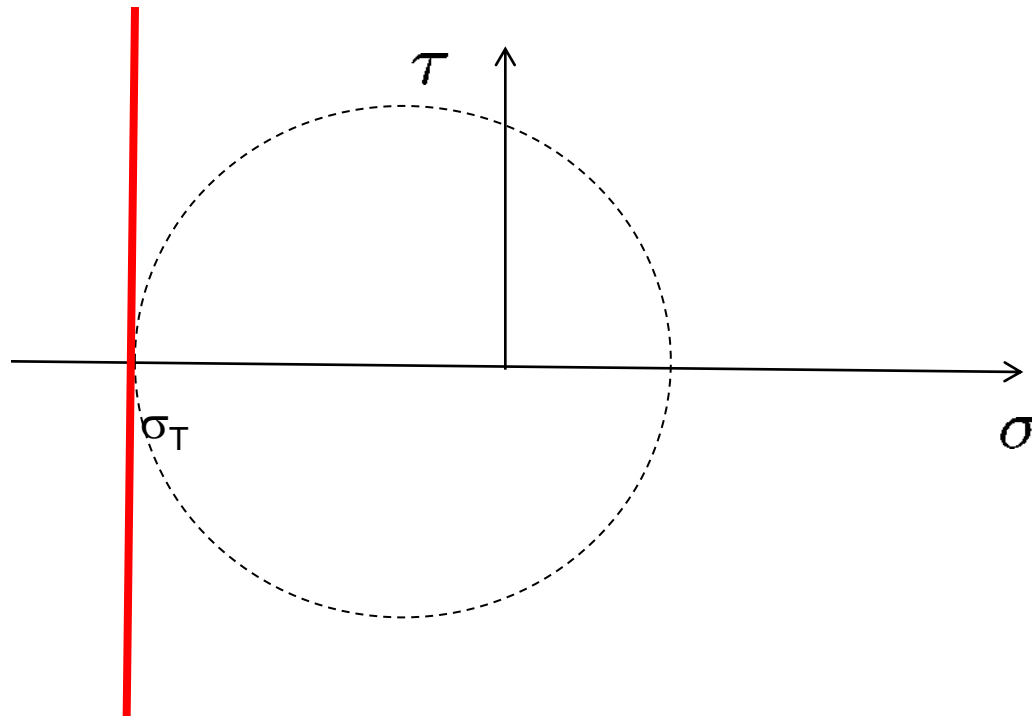
$\boldsymbol{\Delta} \cdot \boldsymbol{N} \geq 0;$   Impenetrability of closing faults

$q \geq q_n.$   Irreversibility of damage

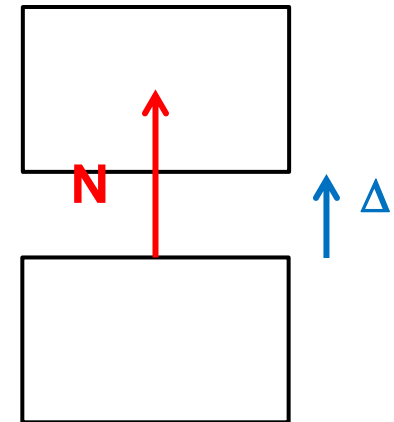
# Brittle damage model: fault propagation and orientation

The corresponding eigenvalue problem identifies two different failure conditions:

- Failure in opening (Galileo-Rankine criterion)



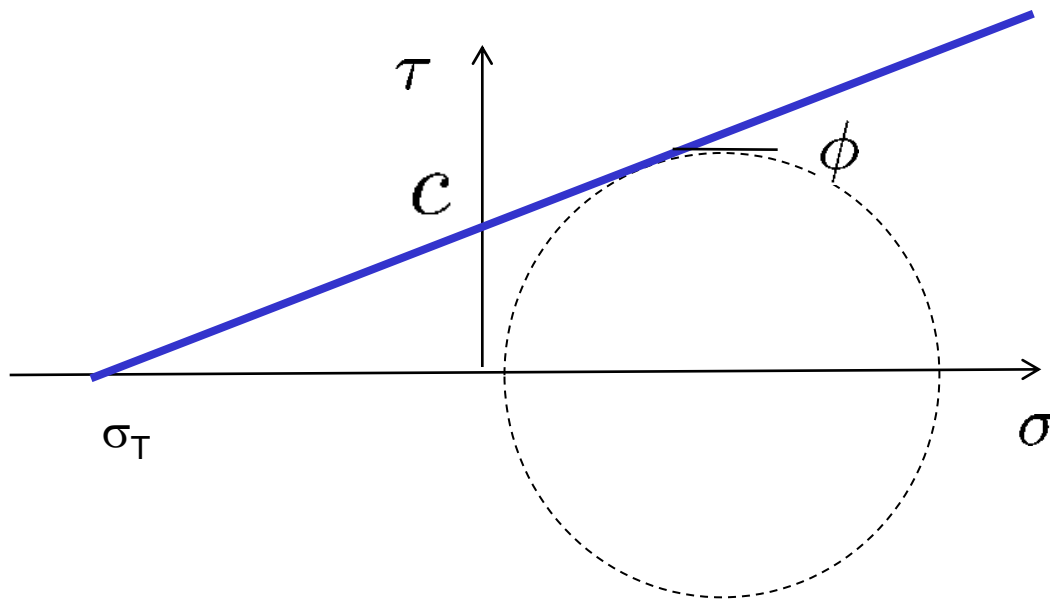
$$\Delta \cdot N > 0$$



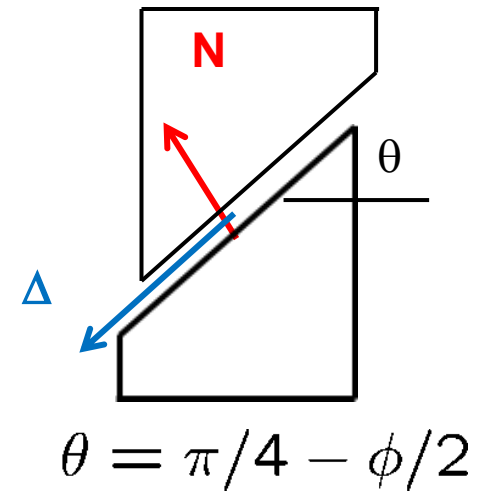
# Brittle damage model: fault propagation and orientation

The corresponding eigenvalue problem identifies two different conditions of brittle materials

- Failure in sliding (Mohr-Coulomb criterion)

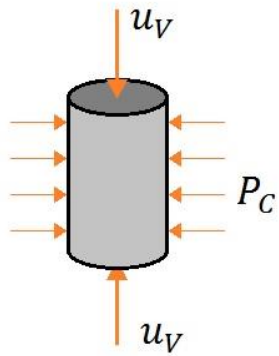


$$\tau = \beta T_c + \sigma' \tan \phi'$$



# Model prediction: triaxial compression test with axial strain control

## Sensitivity analysis: confining pressure

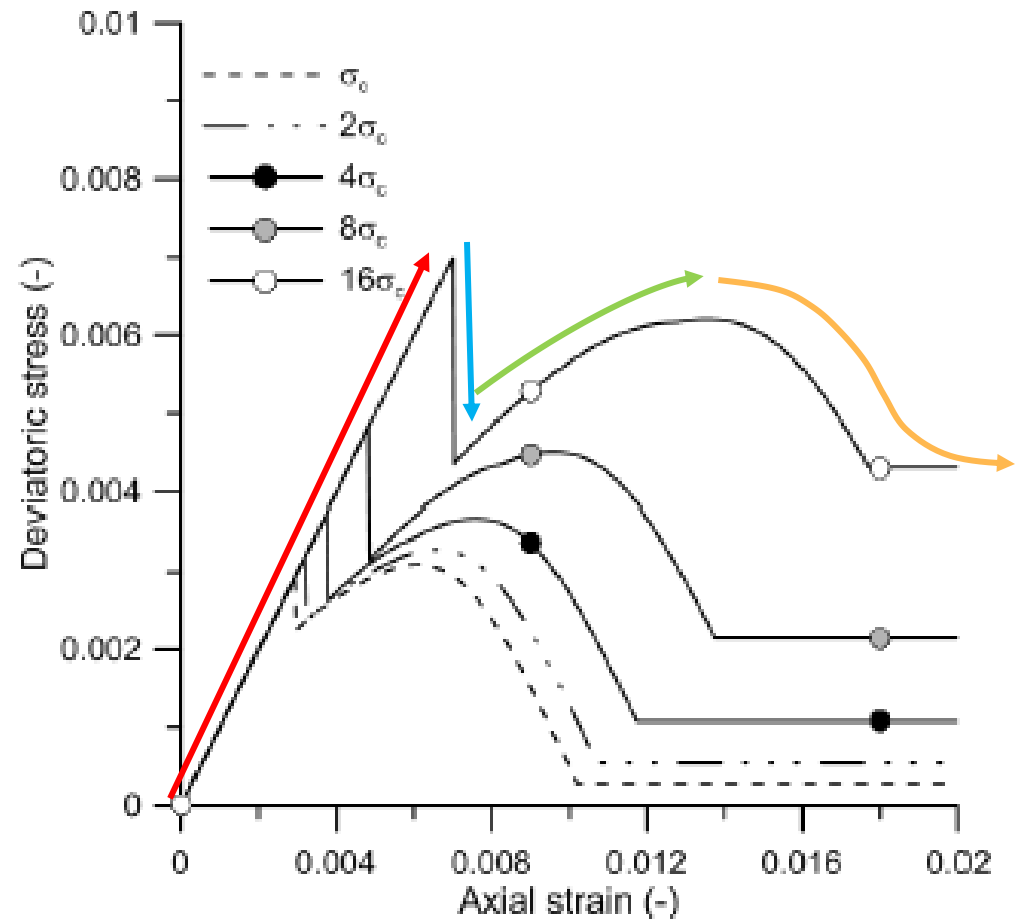


1) ELASTIC LOADING

2) STRUCTURAL BRITTLE FAILURE

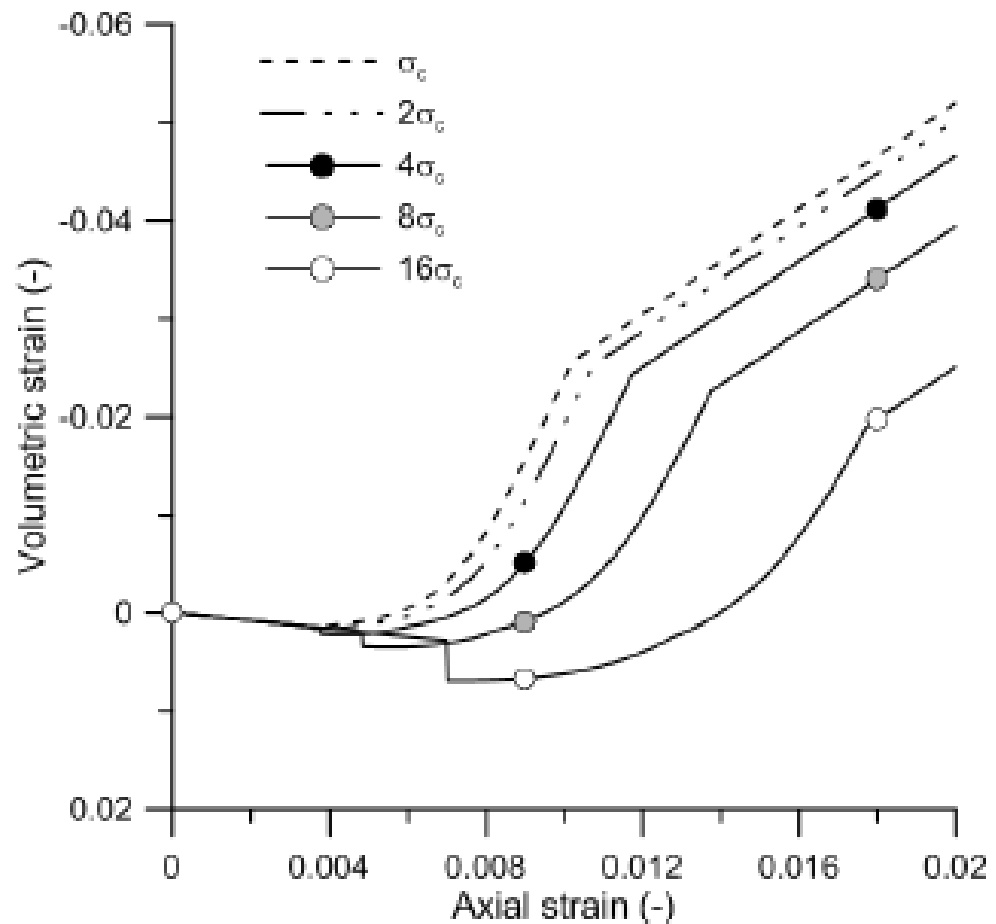
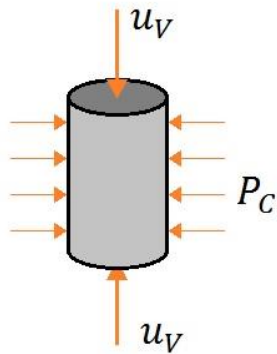
3) COHESIVE STAGE

4) SOFTENING



# Model prediction: triaxial compression test with axial strain control

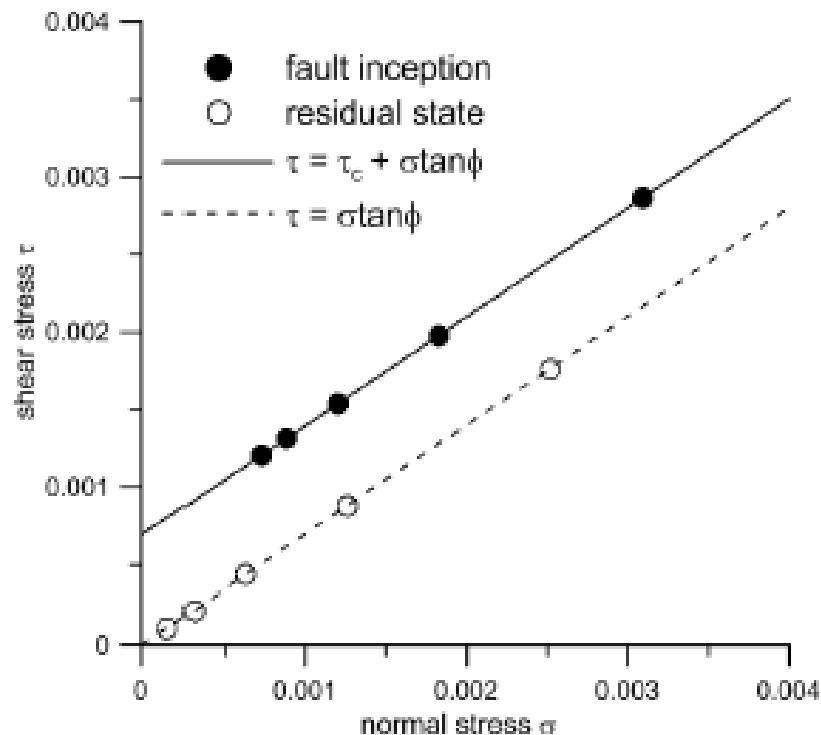
## Sensitivity analysis: confining pressure



# Model prediction: triaxial compression test with axial strain control

For increasing confining pressure:

- Increase in shear strength
- Fragile to ductile transition
- Reduction of the volumetric expansion



Built-in features:

- Peak strength envelope with cohesion
- Residual strength envelope without cohesion
- Dilatancy angle = friction angle



# Porosity and permeability evolution

Total porosity  $n$ : sum of matrix porosity and change of porosity induced by the faults

$$n = n^m + n^f$$

Matrix porosity

Fault induced porosity

$$n^m = \varepsilon_v^m = \varepsilon_{kk}^m$$

$$n^f = \varepsilon_{kk}^f = \frac{1}{L} \Delta_k N_k = \frac{\Delta N}{L}$$

# Porosity and permeability evolution

Total permeability  $k$ : sum of matrix permeability and permeability induced by the faults

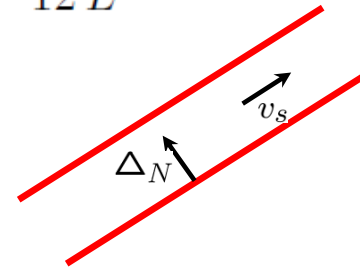
$$k = k^m + k^f$$

Matrix permeability  
(Kozeny-Carman  
type)

$$k^m = k_{KC} \mathbf{I}, \quad k_{KC} = C_{KC} \frac{(n^m)^3}{(1 - n^m)^2}$$

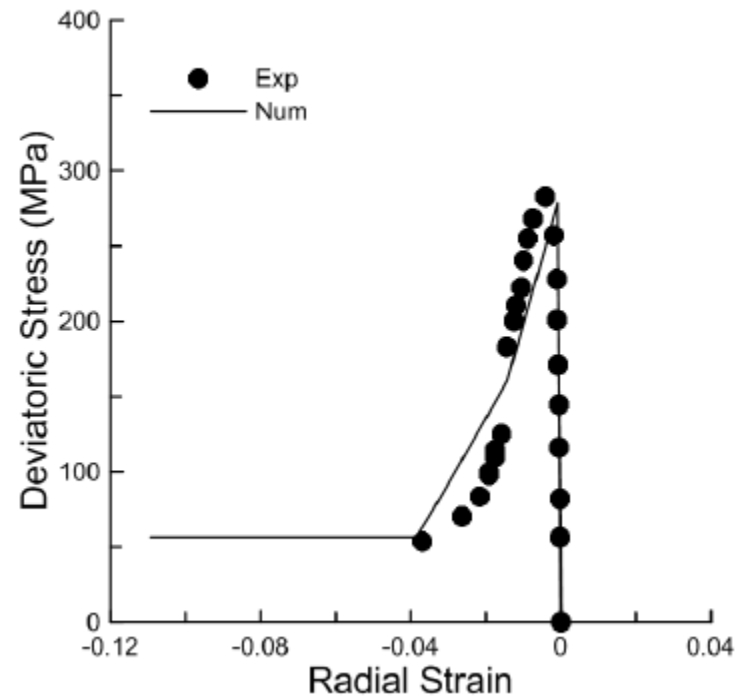
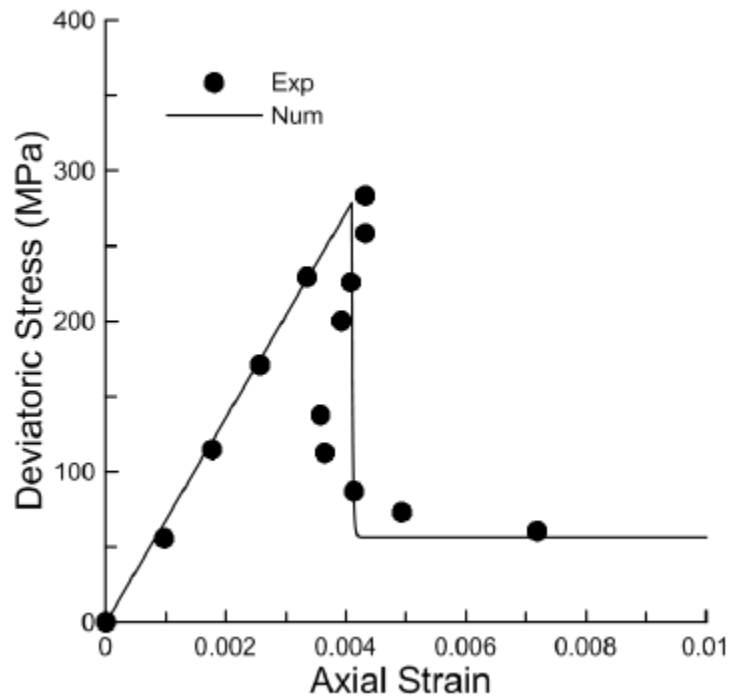
Fault induced permeability

$$k^f = \frac{\Delta_N^3}{12 L} (\mathbf{I} - \mathbf{N} \otimes \mathbf{N})$$



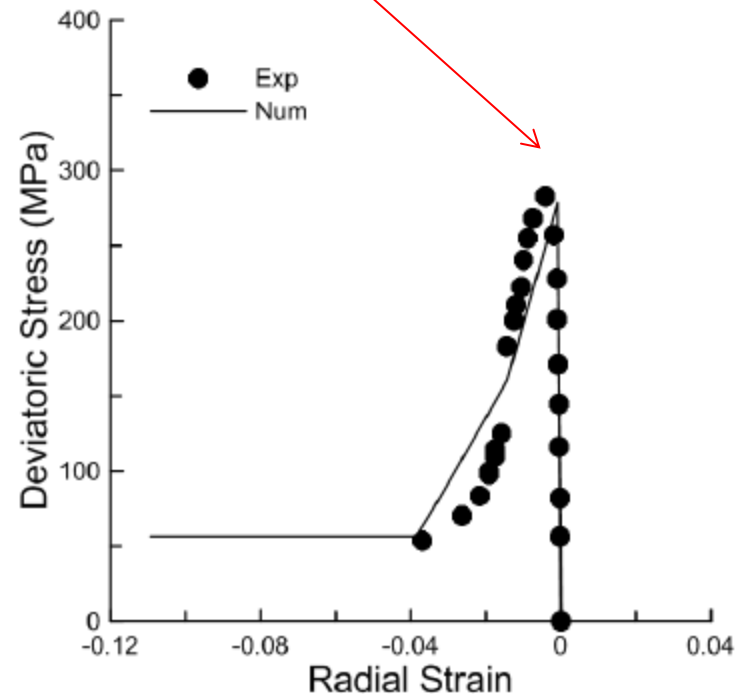
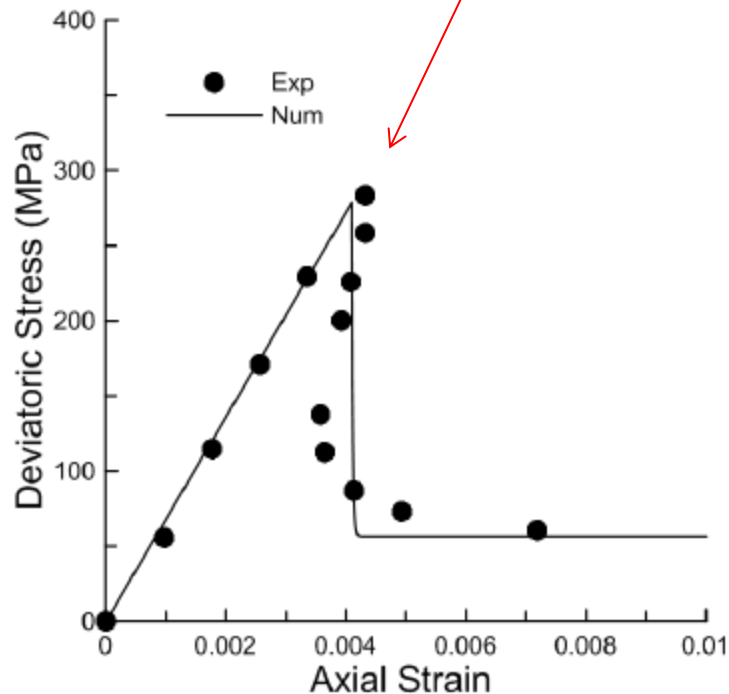
# Model predictions: comparison with experimental data on Inada granite

Triaxial test with 5 MPa confinement – Inada granite (Kiyama et al, 1996)



# Model predictions: comparison with experimental data on Inada granite

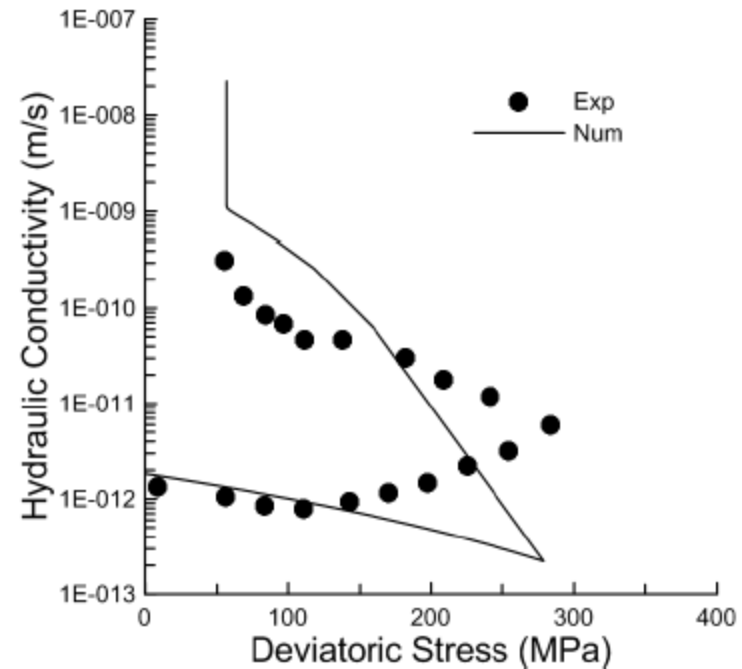
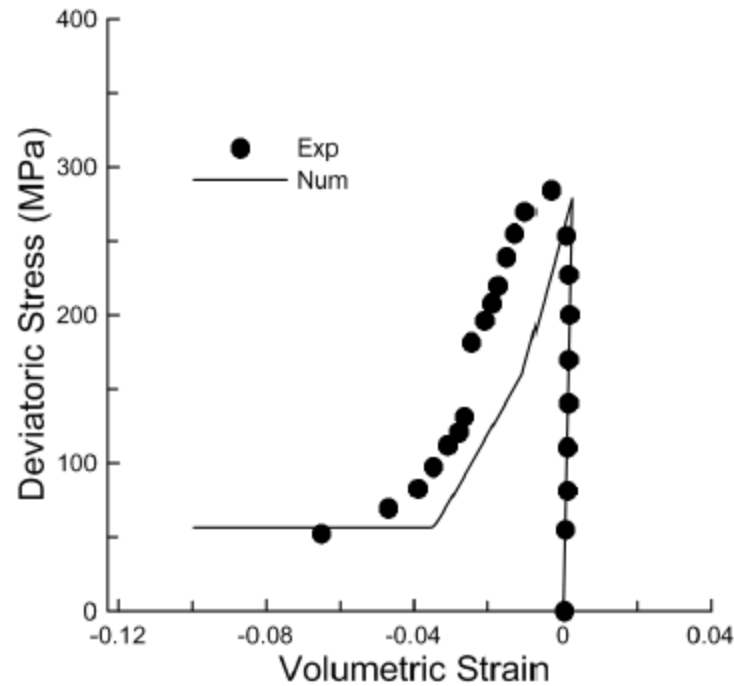
Creation of 1 family of discontinuities



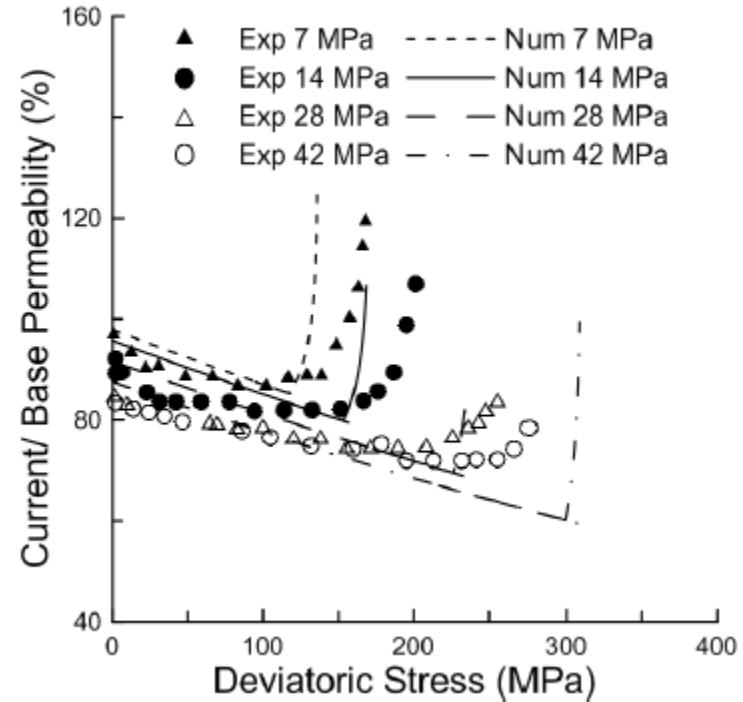
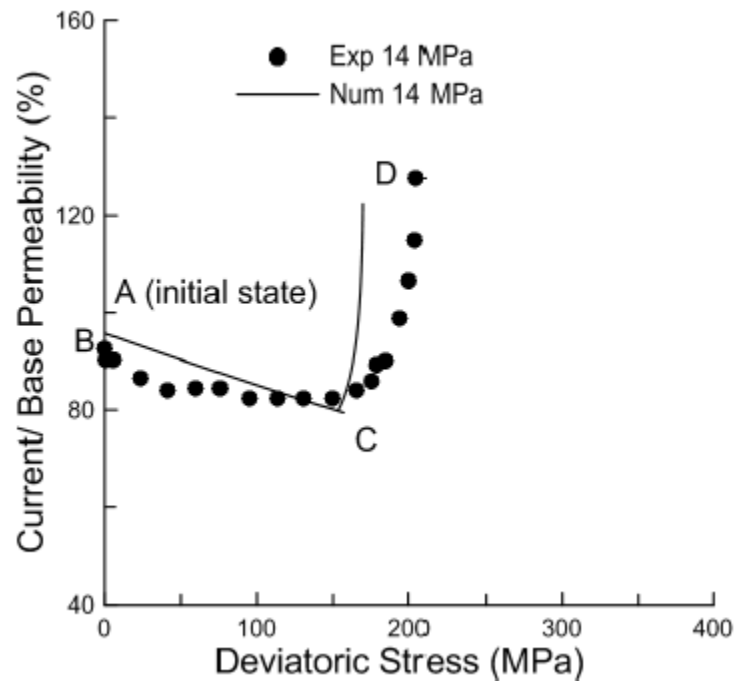
Triaxial test with 5 MPa confinement – Inada granite (Kiyama et al, 1996)

# Model predictions: comparison with experimental data on Inada granite

Triaxial test with 5 MPa confinement – Inada granite (Kiyama et al, 1996)

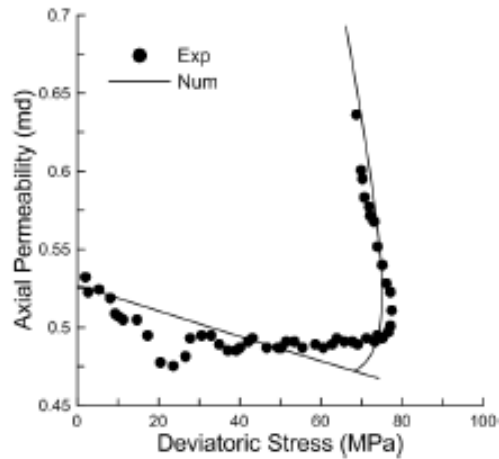


# Model predictions: comparison with experimental data on a Darley Dale Sandstone

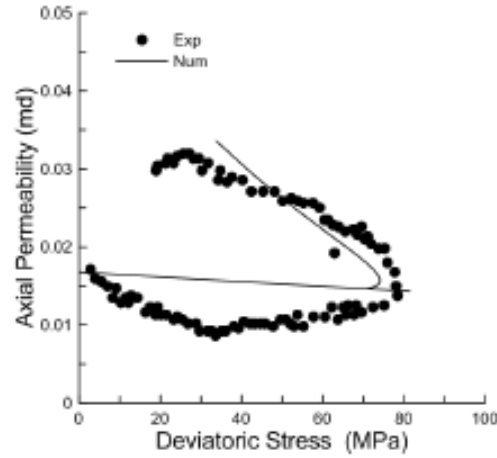


Triaxial tests at different confining pressures (Mordecai, 1970)

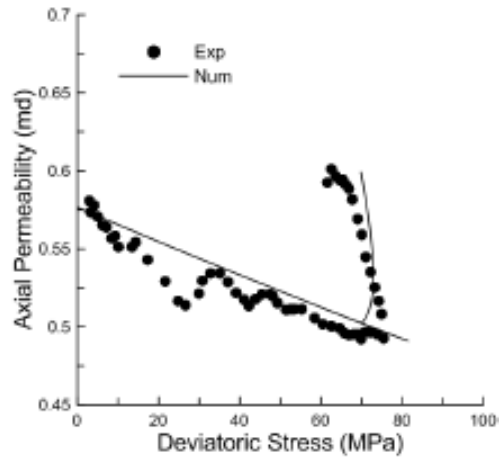
# Model predictions: comparison with experimental data on a Permian Sandstone



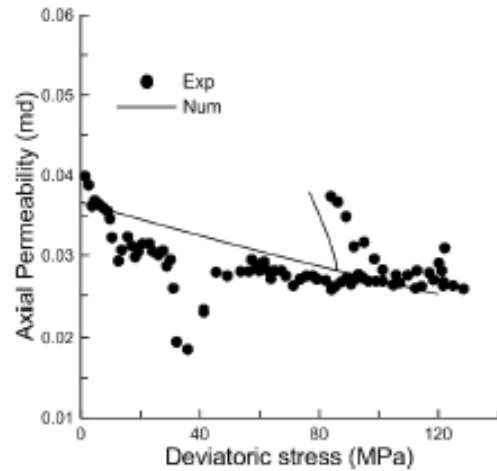
(a)



(b)



(c)

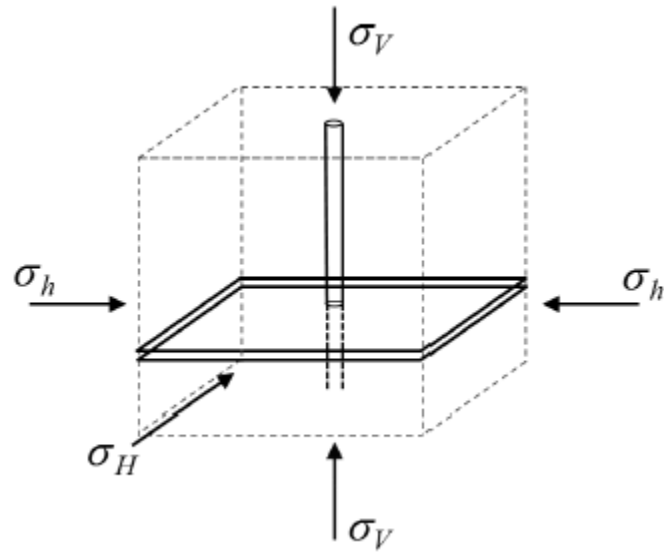


(d)

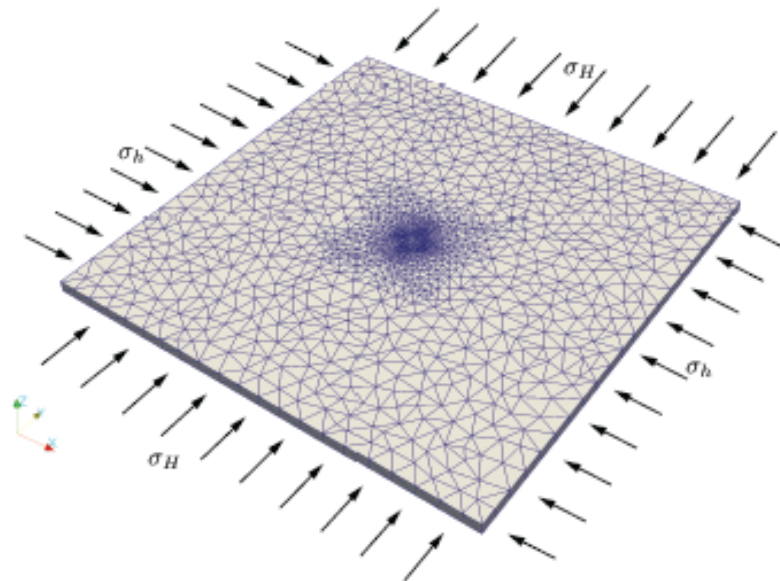
Triaxial tests at different confining pressures – Permian sandstone (Heiland, 2003)

# FEM application: borehole excavation

FEM 3D simulation: vertical borehole with far-field anisotropic stress state



$$\begin{aligned}\sigma_V &= 20.6 \text{ MPa} \\ \sigma_h &= 17.2 \text{ MPa} \\ \sigma_H &= 68.8 \text{ MPa}\end{aligned}$$

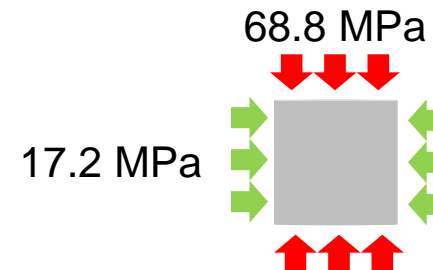
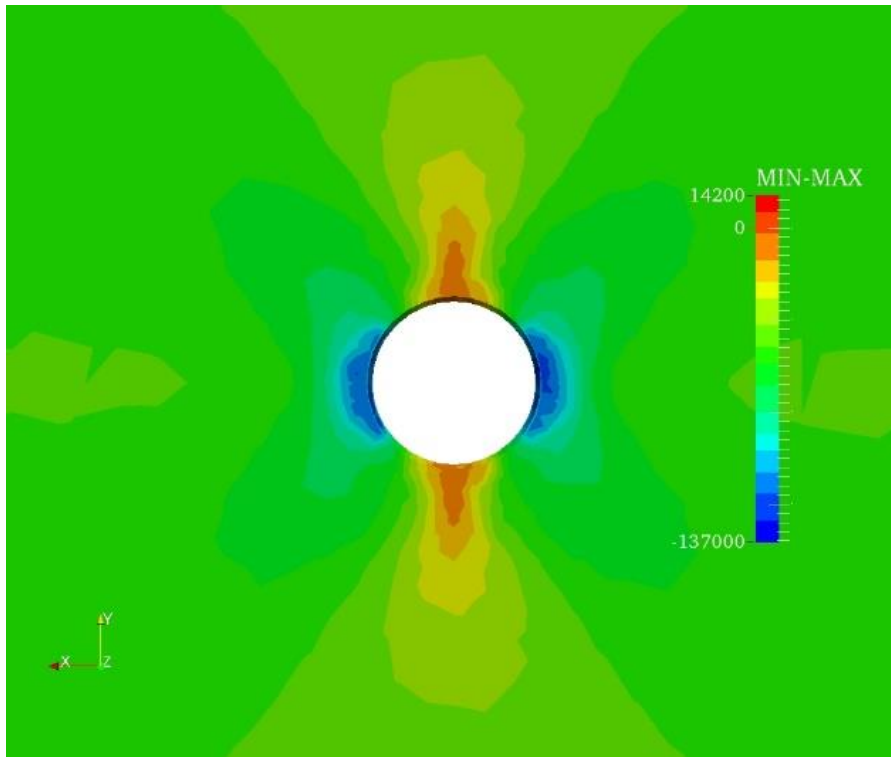




# FEM application: borehole excavation

- 1) Application of the initial stress state
- 2) Borehole simulated by progressive deactivation of the finite elements pertaining to concentric cylinders, up to  $R = 2$  m

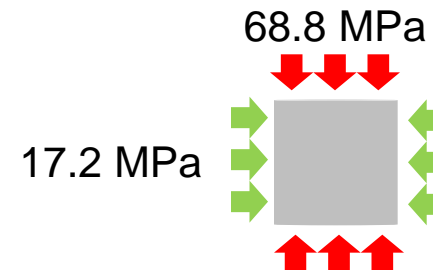
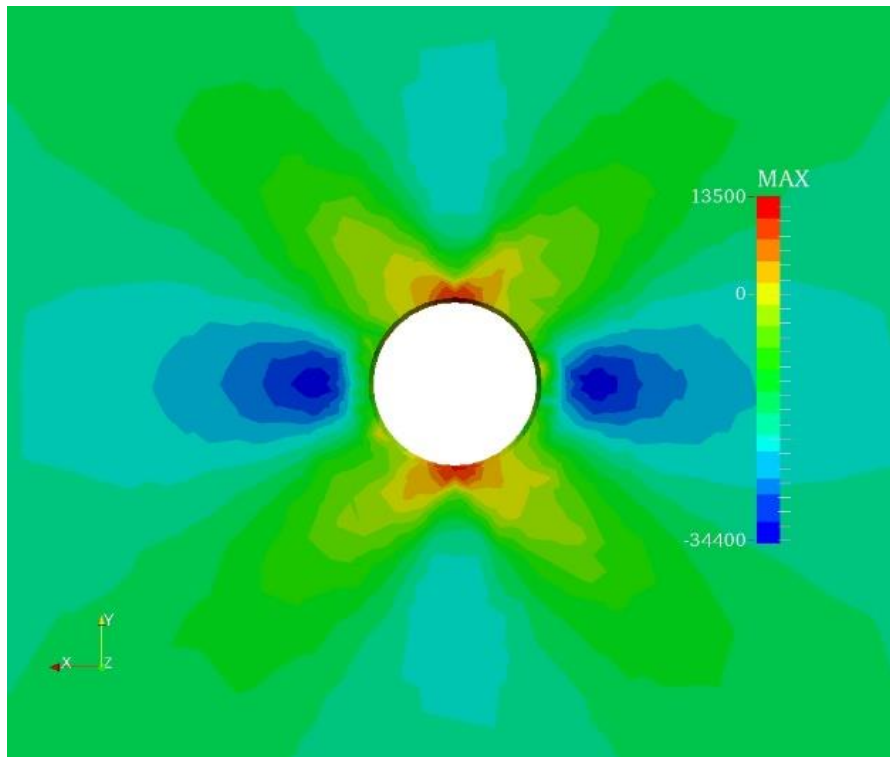
Maximum shear stress  $\rightarrow$  Breakout failure



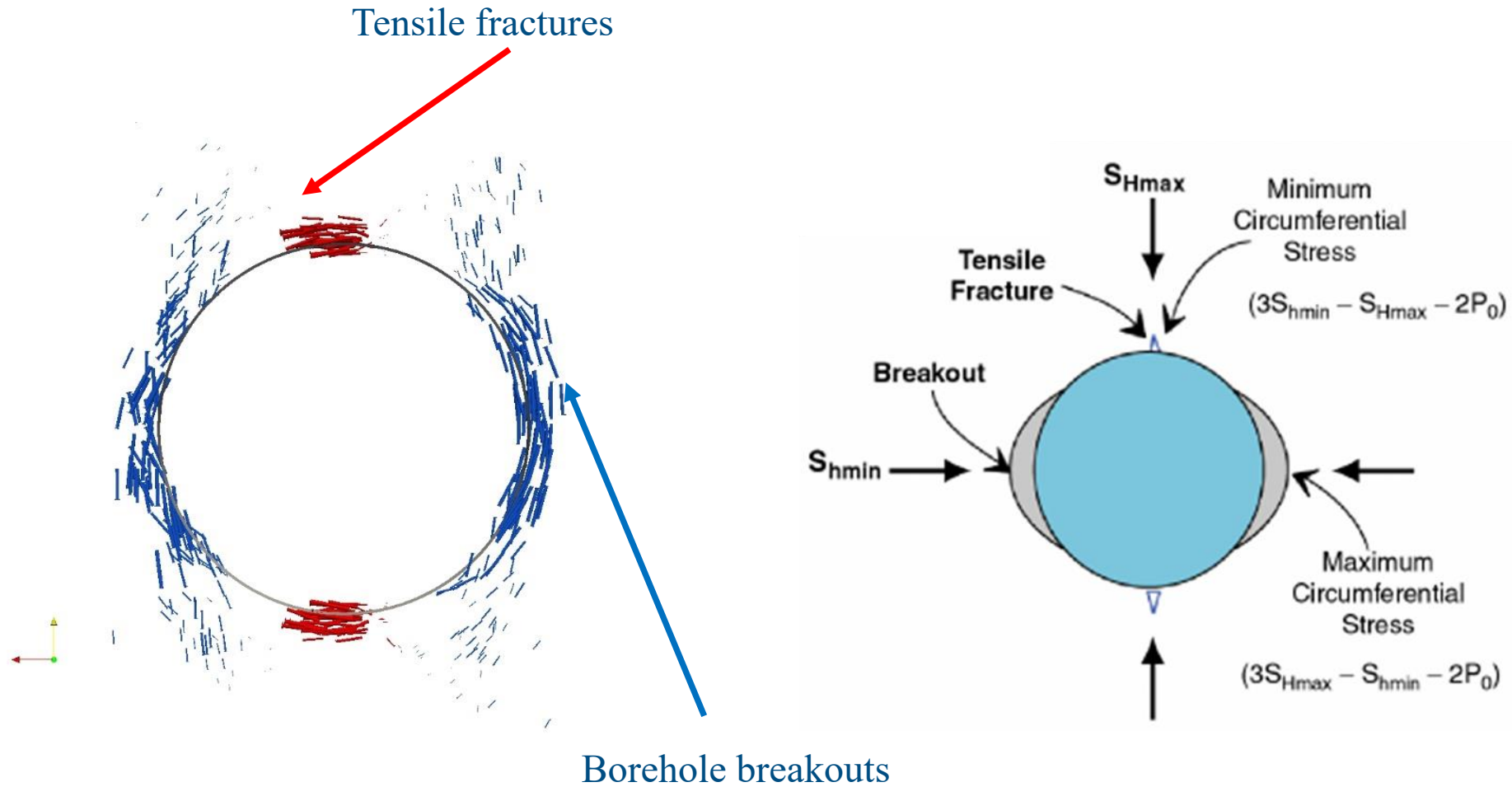
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Minimum principal stress  $\rightarrow$  Tensile failure



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# Conclusions

- We propose a linearized distributed brittle damage model, derived from the original finite deformation model, to be used for modeling the development of multiscale cracks in natural rocks
- Faults show a cohesive behavior in opening and frictional dissipation in sliding
- The particular structure of the faults allows for the analytical definition of natural and damage-induced porosity and permeability
- Limited number of material parameters
- We are applying the model to simulate damage induced by excavations and fracking processes